

Due Diligence

Where Trust Meets Diligence



INDEPENDENT RESEARCH
FOR INDEPENDENT
FINANCIAL ADVISERS

www.quantqualuk.co.uk



Where Trust Meets Diligence

Who are you, and who owns your company?

QuantQual Ltd is a Private Limited Company incorporated on July 11, 2022, registered under Company Number 14226288. The FCA does not regulate it.

The two Directors are:

Matthew Dewsnap

Gillian Lamb

Key Personnel

Matthew Dewsnap IMC, DipPFS, Investment Director

Matthew built and ran the CIP & Portfolios for large regional and national financial planning practices and is our lead analyst. He is responsible for 'both ends' of the process, creating and managing our primary quant screen and the final qualitative analysis and decision-making. Matthew adds real-world, practical experience from a financial planning perspective. Matthew approaches these reports from the financial advisers' viewpoint. Before forming QuantQual, Matthew built and ran the CIP & portfolios for a £250m AUM regional IFA before joining a national IFA. He sat on the firm's investment committee, headed up projects, and ran the Fund DD and research. He has also consulted for other IFAs before forming QuantQual.

Where Trust Meets Diligence

Key Personnel

Gillian Lamb IMC (Studying), Lead Researcher and Director

Gillian knits together the creation of our reports and regularly meets with Fund managers. Gillian's research time is mainly spent in FE, reading factsheets and prospectuses, and visiting the websites and offices of the managers identified in the quant screen. She started in financial services with Friends Provident, working in life insurance and pensions. She brings business development experience with time at a national NGO where she worked on multi-agency projects, securing funding and reporting to National organisations. Gillian has led and managed small teams, setting and meeting targets and a strategic overview. Her experience in paraplanning and investment research is invaluable to our process. She is working towards her Investment Management Certificate (IMC).

Where Trust Meets Diligence

Key Personnel

George Ladds, Strategic Consultant

With over 30 years of experience in financial services, George is the newest team member, bringing content and project management skills to the table. George built and ran a Financial Planning proposition in Bristol. By establishing Money Wise UK, George has extended his reach to four firms.

Money Wise UK, run by George Ladds, is the content arm of QuantQual, adding dimension to our services:

- Bespoke content for your business:
 - Blogs, website content.
- Tailored guidance for financial planners, such as:
 - Working alongside a firm's compliance consultants to establish a robust and workable compliance monitoring system.
 - Developing and implementing monthly consumer duty monitoring (reviewed by the FCA).
 - Developing and implementing a monthly vulnerability process.

Where Trust Meets Diligence

What is your registered address?

Jareth House, 10 New Merrifield, Wimborne, Dorset, BHS21 7AL.

Who regulates your business?

We are an unregulated business.

What insurance do you have?

We hold insurance with Simply Business to cover professional indemnity and employers' liability. Policy certification is available on request.

Who are your accountants?

Clear Cut Accounts Ltd, 2 West Moors Road
Three Legged Cross, Wimborne
Dorset, BH21 6QP

Who manages your IT Systems?

Nami IT Solutions, 1 Sunburst House
Elliott Road, Bournemouth, BH11 8JP

Where Trust Meets Diligence

Who manages your HR?

Peninsula Business Services Limited. Registered Office: The Peninsula, Victoria Place, Manchester, M4 4FB. Registered in England and Wales No: 1702759.

Have any sanctions or disciplinary proceedings been imposed against your company?

No.

Where Trust Meets Diligence

What is your commitment to the market and strategic direction?

QuantQual was established in 2022 and has since acquired ten regular subscribing clients, along with several single-use clients. Our team is currently home-based, primarily across the South and South West, though our growth is not limited to this region. We are in a significant growth phase and have secured support from external business leaders to provide mentorship and independent advice.

With increasing regulatory requirements, we see numerous opportunities in this market. One of our key projects is the Centralised Retirement Proposition/Philosophy, developed in close collaboration with financial planning practices and investment managers.

We have a long-term business plan that is reviewed annually. By the end of 2025, we aim to double our subscribing clients to over 20. We anticipate that revenue from commentary and consulting will grow with our client base, helping us maintain a competitive edge. As an outsourced investment team, we position ourselves as a cost-effective alternative to in-house staff.

The business carries no debt, and future staffing investments will be funded through revenue growth.

Where Trust Meets Diligence

Services

Why should we use QuantQual?

QuantQual is more than just a research house.

The team has worked with financial planning practices, insurance companies, and direct-to-consumer models. Their broader insight into financial services provides a more rounded view.

Yes, we develop centralised investment propositions and provide all the investment and due diligence research. But we are much more than this. Some of the areas include Centralised Retirement Propositions and Anti-Greenwashing statements and guidance.

We can also lean on the work Money Wise UK (George Ladds) has been doing around monthly reporting on Consumer Duty and Vulnerability and providing content for newsletters and websites.

What are the advantages of QuantQual?

One of the main advantages is that we are genuinely independent. We don't take fees from investment houses for our research, and if that changes, we will tell you.

We can help financial planning businesses in a more complicated world. We are not a big corporation; we are small enough to care. Creating ethical and supportive partnerships for mutual growth and success is central to our actions.

Where Trust Meets Diligence

What do advisers say about their experience of using QuantQual?

“I have been searching for a long time, for a solution to managing clients' funds which ticked all the boxes, it needed to be part of my review process, compliant, client-friendly, cost-effective and flexible. QuantQual have exceeded my expectations, and I am so, very pleased to be working with them.”

(Graeme Elliot-Smith, Manning & Co)

How much do you charge?

Our service ranges up to £900 per month depending on the service level required.

ESG, Compliance and Processes

Integrity

We have worked in financial services for many years. We understand the challenges that firms face.

Treating others as we wish to be treated creates ethical and supportive partnerships for mutual growth and success.

When we first meet, we will try to understand what you are looking for and be honest about whether we can help.

Where Trust Meets Diligence

ESG, Compliance and Processes

How does an unregulated firm ensure ESG and compliance are monitored?

ESG is important to us:

- Our ESG policy is in place, and we are happy to share it. We plan to report on our progress annually, with the first report due at the end of 2024.
- The ESG Policy covers Remote Working, Carbon Emission Reduction, Technology and Infrastructure, Waste Segregation, Emission consciousness, Employee engagement, Reporting and accountability and Policy review
- We also have an Ethical Sourcing and Purchasing Policy in place.

We are not authorised but are aware that we work for regulated firms; this means:

- We are fully aware of recent regulatory changes and what this means.
- Our support material is there to assist regulatory businesses.
- George Ladds moved the firm he worked for to be directly authorised in 2016 and has worked closely with compliance consultants for several years. He was both a Director and held SMF3 Function.

Where Trust Meets Diligence

Do you have access to client data?

No, there is no reason for us to have access to client data.

What is your data protection policy?

We have a data protection policy in place, which is available upon request. This is reviewed annually.

We use cloud-based storage for our files.

What is your complaints policy?

It is important that you are happy with our services. We do not keep client money.

However, if you are unhappy with any aspects of our services, please contact:

Matthew Dewsnap
matthew.dewsnap@quantqual.co.uk

Where Trust Meets Diligence

Support

What support services do you have?

We outsource elements of our business.

We use:

Clear Cut Accounts Ltd for accountancy services.

Nami IT Solutions for IT support and services.

Peninsula for HR Services.

Matthew Dewsnap and Gillian Lamb are the research and business development contacts. George Ladds focuses on content and critical projects such as Centralised Investment Propositions and Anti-Greenwashing, and he also offers consultancy services.

Contact us

W: www.quantqualuk.co.uk

W: www.money-wise.uk

T: 01202 099 047

E: enquiries@quantqual.co.uk

Reviewing this document

We review this policy on an annual basis. The next review is in January 2025.